

1
2
3
4
5
6
7 UNITED STATES DISTRICT COURT
8 WESTERN DISTRICT OF WASHINGTON
9 AT SEATTLE

10 SECURITIES AND EXCHANGE
11 COMMISSION,

CASE NO. C17-0405JLR
ORDER

12 Plaintiff,

v.

13 ANDY SHIN FONG CHEN, et al.,

14 Defendants.

15 Before the court is the second quarterly application for compensation and
16 reimbursement of expenses of: (1) Receiver Geoffrey B. Winkler (“the Receiver”) in the
17 amounts of \$11,947.00 in fees and \$21.80 in costs; and (2) the Receiver’s counsel, Tara J.
18 Schleicher and Foster Garvey P.C. (collectively, “Foster Garvey”), in the amounts of
19 \$3,617.60 in fees and \$0 in costs. (4th Fee App. (Dkt # 193); 4th Fee Notice (Dkt.
20 # 194).) The Receiver and Foster Garvey seek an order authorizing disbursement of 80%
21 of the total requested fees and costs. (*See* 4th Fee App.) No party has filed an opposition
22

1 to the foregoing fee application. (*See generally* Dkt.; *see also* SEC Resp. (Dkt. # 195)
 2 (stating that the SEC does not object to the request).)

3 The court finds that the fees and costs represented in the instant fee application are
 4 reasonable and necessary, notice of the fee application was appropriate, the services
 5 provided were of substantial benefit to the estate, and the fee application is in accord with
 6 the court's order appointing a receiver (Order Appt. Receiver (Dkt. # 130) ¶¶ 55-59).

7 Accordingly, the court GRANTS the fee application (Dkt. # 193) listed above and
 8 APPROVES on an interim basis the following fees and costs:

Applicant:	Period:	Fees:	Costs:	Total:
Foster Garvey	July 1, 2022, through September 30, 2022	\$3,617.60	\$0	\$3,617.60
The Receiver	July 1, 2022, through September 30, 2022	\$11,947.00	\$21.80	\$11,968.80

14 The court further ORDERS that the Receiver is authorized to disburse 80% of the
 15 foregoing approved fees and costs at this time to the foregoing respective applicants, as
 16 described below:

17 (1) The court AUTHORIZES the Receiver to disburse \$2,894.08 to Foster
 18 Garvey.

19 (2) The court AUTHORIZES the Receiver to disburse \$9,575.04 to the Receiver.

20 //

21 //

22 //

1 Dated this 30th day of November, 2022.
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22



JAMES L. ROBART
United States District Judge